9-13-01

Attorney's Docket No.:

5642.P005x

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OIn Re Application of: Antonov, et al.

Application No. 09/909,588

Filed: 07/20/2001

For: ENHANCED

COMMUNICATION SCHEME FOR

OBJECTS IN MULTI-HOST

ENVIRONMENTS

Examiner: Not yet assigned

Art Unit: Not yet assigned

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, U.S. Patent and Trademark Office,

Washington, D.C. 2023

on 08/27/2002

Date

SEP 0.5 2002

Technology Center 2100

Commissioner For Patents Washington, D.C. 20231-0001

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicants.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made other than that described in the enclosed International Search Report for International Application No. PCT/US02/15534 mailed 07/12/2002 (Attorney

Docket No. 5642.P005xPCT) and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$ <u>180.00</u> for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

- (2) A short for \$190.00 for the feeting day 27 C.E.D. \$1.17.
- (2) A check for \$180.00 for the fee under 37 C.F.R. \$1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOTF, TAYLOR & ZAFMAN LLP

Dated: 08/27/2002

John P. Ward, Reg. No. 40,216

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300 Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known					
Application Number	09/909,588				
Filing Date	07/20/2001				
First Named Inventor:	Antonov, et al.	1			
Group Art Unit	Not yet assigned	1			
Examiner Name	Not yet assigned				
Attorney Docket Number	5642.P005x				

Sheet		1_		of	2		Attorney Docket Nu	mber	5642.P005x	
				•		U.S. PATE	NT DOCUMENTS			
Exam. Cite		Cite U.S. Patent		U.S. Patent Document		Name of Patentee or Applicant of Cited Document			Publication of Document	Pages, Columns, Lines, Where Relevant
*	140.		Number Code ²	Kind (If known)		o, o.iou boodinoit			-DD-YYYY	Passages or Relevant Figures Appear
			6,141,759	Α			Braddy	10-	31-2000	
•			6,185,680	B1		Shii	mbo et al.	02-	06-2001	
			6,212,633	B1		Le	evy et al.	04-	03-2001	
			5,351,293	Α		Mich	ener et al.	09-	27-1994	
			5,295,188	A		Wil	son et al.	03-	15-1994	
			6,189,101	B1		Dus	enbury, Jr.	02-	13-2001	
									REC	EIVED
										9 5 2002
				_				-	Technology	Center 2100
						101181				
					+			-		
					1					

Exam. Initiel*	Cite No. ¹	Forei	gn Patent D Number⁴	Nocument Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T'

Examiner		Date Considered	
Signature			•

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the twoletter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

•			•				
Substitute 1	or Form 1	449A/PTO (Modifie	ed)	Con	nplete if Known		
INFC	iRMA ⁻	TION DISC	LOSURE	Application Number	09/909,588	TPE	:
				Filing Date	07/20/2001	<u>\0, </u>	٠,٠
STA		NT BY AP		First Named Inventor:	Antonov, et al.	202	DOS
	(use as r	many sheets as nece	ssary)	Group Art Unit	Not yet assigned	/ Ann a	
				Examiner Name	Not yet assigned	Tree.	- 19
Sheet	2	of	2	Attorney Docket Number	5642.P005x	& TRAD	<u>CM</u>
		OTHE	R ART - NO PAT	ENT LITERATURE DOCUM	ENTS		
• Examiner • Initials*	Cite No ¹	item (book,	magazine, journal, s number(s), pul	ITAL LETTERS), title of the artic serial, symposium, catalog, etc.) blisher, city and/or country wher ibuted Capability Based Syste	, date, page(s), volume- e published	issue	T ²
				Key Generation and Distribut Interconnection Architecture			
					RECEIVE		
				Tax	SEP 0 5 2002		
	:			rec	hnology Center 210	00	
·							

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to

Box U.S. Pater and	Wasemouk Office	
Commissioner for Patents	μ,	
Washington, D.C. 20231-0001	on 8/27/02	•
Orul	884	,
Judy L. Steinkraus		RECEIVED
·		SEP 0 5 2002
		Technology Center 2100

00/000 599	Filing/Lesue Date: 07/20/2001
Serial/Patent No.: 09/909, 588	
Client: EXIGEN GROUP, INC. Title: ENHANCED COMMUNICATION SCHEM	F FOR OBJECTS IN MILTI-HOST
Title: ENHANCED COMMUNICATION SCHEM	E-FUR OBJECT
THE THE PROPERTY OF THE PROPER	Atty/Secty Initials: JPW jxs
BSTZ File No.: 5642. P005x	Docket Due Date: n/a
Date Mailed: August 27, 2002	
The following has been received in the U.S. Patent	
Amendment/Response (pgs')	Express Mail No.
Appeal Brief (pgs.) (in triplicate)	Widdings Extension of a service of the service of t
Application - Utility (pgs., with cover and abstract)	Information Discussife Statement & 110 110 (-18-)
Application - Rule 1.53(b) Continuation (pgs.)	Issue Fee Transmittal Amt:
Application - Rule 1.53(b) Divisional (pgs.)	Notice of Appeal
Application - Rule 1.53(b) CIP (pgs.)	Petition for Extension of Time
Application = Rule 1.53(d) CPA Transmittal (pgs.)	Petition for
Application - Design (pgs.)	Postcard
the design of the second of th	Power of Attorney (Pgs.)
Application - PCT (Pgs.) Application - Provisional (pgs.)	Preliminary Amendment (pgs.)
Application - Provisional (pgs.)	Reply Brief (pgs.)
Assignment and Cover Sheet	Response to Notice of Missing Parts
Certificate of Mailing	Small Entity Declaration for Indep. Inventor/Small Business
Declaration & POA (pgs.)	Transmittal Letter, in duplicate
Disclosure Does & Orig & Copy of Inventor's Signed Letter (pgs.)	Fee Transmittal, in duplicate
Drawings: # of sheets includes figures	1 to the remaining the state of
Other copies of references	
Other: CODIES OF TEFFERICES	Search Report mailed 07/12/2002
CODY OF ECTIONALITY	
	Control of the Contro